

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

JAMES CONTANT, MARTIN-HAN TRAN,
CARLOS GONZALEZ, UGNIUS MATKUS,
JERRY JACOBSON, and PAUL VERMILLION,
on behalf of themselves and all others similarly
situated,

Plaintiffs,

v.

BANK OF AMERICA CORPORATION; BANK
OF AMERICA, N.A.; MERRILL LYNCH,
PIERCE, FENNER & SMITH INC.; THE BANK
OF TOKYO MITSUBISHI UFJ LTD.;
BARCLAYS BANK PLC; BARCLAYS
CAPITAL INC.; BNP PARIBAS GROUP; BNP
PARIBAS NORTH AMERICA, INC.; BNP
PARIBAS SECURITIES CORP.; BNP PARIBAS
PRIME BROKERAGE, INC.; CITIGROUP
INC.; CITIBANK, N.A.; CITICORP;
CITIGROUP GLOBAL MARKETS INC.;
CREDIT SUISSE GROUP AG; CREDIT
SUISSE AG; CREDIT SUISSE SECURITIES
(USA) LLC; DEUTSCHE BANK AG;
DEUTSCHE BANK SECURITIES INC.; THE
GOLDMAN SACHS GROUP, INC.;
GOLDMAN, SACHS & CO.; HSBC
HOLDINGS PLC; HSBC BANK PLC; HSBC
NORTH AMERICA HOLDINGS, INC.; HSBC
BANK USA, N.A.; HSBC SECURITIES (USA)
INC.; JPMORGAN CHASE & CO.;
JPMORGAN CHASE BANK, N.A.; MORGAN
STANLEY; MORGAN STANLEY & CO., LLC;
MORGAN STANLEY & CO.
INTERNATIONAL PLC; RBC CAPITAL
MARKETS LLC; ROYAL BANK OF
SCOTLAND GROUP PLC; RBS SECURITIES
INC.; SOCIÉTÉ GÉNÉRALE S.A.; STANDARD
CHARTERED BANK; UBS AG; UBS GROUP
AG; and UBS SECURITIES LLC;

Defendants.

Case No. 17-cv-03139

RULE 7.1 CORPORATE DISCLOSURE STATEMENT

Pursuant to Federal Rule of Civil Procedure 7.1, Defendants The Goldman Sachs Group, Inc. (“GS Group”) and Goldman Sachs & Co. LLC, by their undersigned counsel, state as follows:

GS Group is a corporation organized under the laws of Delaware, and its shares are publicly traded on the New York Stock Exchange. GS Group has no parent corporation, and to the best of GS Group’s knowledge, no publicly held company owns 10% or more of the common stock of GS Group. Goldman Sachs & Co. LLC is a wholly-owned subsidiary of GS Group, except for de minimis non-voting, non-participating interests held by unaffiliated broker-dealers.

Dated: New York, New York
June 1, 2017

Respectfully submitted,

CLEARY GOTTlieb STEEN & HAMILTON LLP

By: /s/ Thomas J. Moloney
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Group, Inc. and Goldman Sachs & Co. LLC*